

# Introduction to bulk properties

KEMS409 — Demo #2

# Useful links

- **ASE** atomic simulation environment  
<https://wiki.fysik.dtu.dk/ase/>
- **GPAW** grid-based projected augmented wave  
<https://wiki.fysik.dtu.dk/gpaw/>
- **AMCSD** American mineralogist crystal structure database  
<http://rruff.geo.arizona.edu/AMS/amcsd.php>
- **bulk tests** using the GPAW approach  
[https://wiki.fysik.dtu.dk/gpaw/setups/bulk\\_tests.html](https://wiki.fysik.dtu.dk/gpaw/setups/bulk_tests.html)

# Problems

- construct and visualise given metal lattices
  - #2.1 – **Na** (bcc)
  - #2.2 – **Ag** (fcc)
  - #2.3 – **Mg** (hcp)
- check what sampling of the Brillouin zone is sufficient  
*(remember that it is merely a demo, and we are learning here — no need to achieve research-class accuracy)*
- relax the metal lattice
- apply an equation of state to calculate the bulk modulus

# Connect to Electra

- connect to Electra with the display forwarding (otherwise, you won't be able to visualise the results)

```
ssh -Y -l <username> calc.phys.jyu.fi  
ssh -Y electra.chem.jyu.fi
```

- connect to one of the nodes exclusively allocated for today's demo session or for homework

```
ssh -Y el33  
ssh -Y el34  
ssh -Y el37
```

```
ssh -Y el1  
ssh -Y el2
```

# Problem #2.1 – the Na bulk

```
from ase.lattice import bulk  
from ase.visualize import view
```

```
a0 = 4.225      # educational guess for the lattice constant  
Me = "Na"      # symbol of the metal
```

```
cell = bulk(  
    name=Me,  
    crystalstructure="bcc",  
    a=a0,  
    cubic=True)
```

```
view(cell)     # visualising the unit cell
```

# Brillouin zone sampling

- each periodic lattice has a corresponding reciprocal lattice
- a Wigner–Seitz cell on the reciprocal lattice is the first Brillouin zone (or BZ)
- the Brillouin zone is typically sampled by a Monkhorst–Pack type mesh of  $k$ -points

# Testing the BZ sampling

```
from ase.lattice import bulk
from gpaw import GPAW, PW

cell = bulk(...) # generate an appropriate unit cell here!

for k in [ 1, 2, 4, 8 ]:
    calc = GPAW(
        xc="PBE", # XC functional
        mode=PW(400), # plane-wave cutoff
        kpts=(k,k,k), # MP-mesh of k-points
        eigensolver="rmm-diis") # special eigensolver
    cell.set_calculator(calc)
    cell.get_potential_energy()
```

# Results for the Na bulk

- submit the calculation first (parallel)

```
mpirun -np 4 gpaw-python <name>.py | tee <name>.txt
```

- extract the results

```
grep -E "the Brillouin Zone|Zero Kelvin" <name>.txt
```

```
1 k-point ...  
Zero Kelvin:      -6.532187  
1 k-point ...  
Zero Kelvin:      -3.322692  
4 k-points ...  
Zero Kelvin:      -2.571802  
20 k-points ...  
Zero Kelvin:      -2.597907
```



# ...continued

- calculate the relative change in energy upon gradual improve of the BZ sampling

<b>sampling</b>	<b>IBZ</b>	<b>energy (eV)</b>	<b>change</b>
(1x1x1)	1	-6.5322	—
(2x2x2)	1	-3.3227	49.1%
(4x4x4)	4	-2.5718	22.6%
(8x8x8)	20	-2.5979	1.0%

- starting from the  $(4 \times 4 \times 4)$  Monkhorst–Pack mesh, the change in energy gets small, indicating the sufficiency of the selected mesh (*for the demo purposes!*)  
— we will use that for further calculations on the Na bulk

# Relaxing the lattice

- the educational guess for the lattice parameter does not necessarily correspond to the minimum on the potential energy surface within the chosen computational approach
- we might use already familiar BFGS algorithm to relax the structure; however it can only optimise the positions of the atoms within the cell
- hence, we ought to employ something that projects forces acting on the lattice onto the forces acting on the atoms — in ASE it is called “strain filter”

# Relaxing the Na bulk

```
from ase.optimize import BFGS
from ase.io import Trajectory
from ase.constraints import StrainFilter
from gpaw import GPAW, PW

cell = bulk(...) # generate an appropriate unit cell here!

calc = GPAW(xc="PBE", mode=PW(400),
            kpts=(4,4,4), # apply the chosen MP mesh!
            eigensolver="rmm-diis")
cell.set_calculator(calc)

sf = StrainFilter(cell)
opt = BFGS(sf, logfile="<name>.log")
traj = Trajectory("<name>.traj", "w", cell)
opt.attach(traj)

opt.run(fmax=0.025)
```

# Results for the Na bulk

- submit the calculation first (parallel)

```
mpirun -np 4 gpaw-python <name>.py | tee <name>.txt
```

- open the log file to check if the optimisation is converged

```
cat <name>.log
```

```
BFGS:  0  .....  -2.571802  0.0760
BFGS:  1  .....  -2.571878  0.0646
BFGS:  2  .....  -2.572078  0.0008
```

- visualise the optimisation trajectory

```
ase-gui <name>.traj
```

# ...continued

- extract the optimised unit cell from the text output

```
grep "Unit Cell:" -A 5 <name>.txt
```

Unit Cell:

	Periodic	X	Y	Z	Points	Spacing
1. axis:	yes	4.207378	-0.000000	0.000000	20	0.2104
2. axis:	yes	-0.000000	4.207378	0.000000	20	0.2104
3. axis:	yes	0.000000	0.000000	4.207378	20	0.2104

- the optimised lattice parameter is  $a = 4.2074 \text{ \AA}$  (to be compared to the experimental guess  $a_0 = 4.225 \text{ \AA}$ )

# Stabilised jellium equation of state

- we can apply the stabilised jellium equation of state (SJ-EOS) to calculate the bulk modulus of our material

$$E(V) = c_0 + c_1 t + c_2 t^2 + c_3 t^3, \text{ where } t = V^{-1/3}$$

- to ensure a good fitting, we need to specify several energy values (in this demo — seven) corresponding to different unit cell volumes
- the seven points will correspond to the deformation of the lattice by 0%,  $\pm 1\%$ ,  $\pm 2\%$  and  $\pm 3\%$  with respect to the optimised lattice

# ...continued

- ASE-GUI will then do the job finding the minimum  $t_{\min}$  of the  $E(V)$  function and the derivative  $dE/dt$ , wherefrom
  - the equilibrium volume  $V_0 = t_{\min}^{-3}$
  - the bulk modulus  $B = 1/9 \times (t_{\min}^5 \times dE/dt(t_{\min}))$

# Bulk modulus of Na

```
from ase.io import Trajectory
from gpaw import GPAW, PW

cell = bulk(...) # generate an appropriate unit cell here!
                  # remember to use the optimised lattice
                  # parameter!
ucell = cell.get_cell() # save the original unit cell

calc = GPAW(xc="PBE", mode=PW(400), kpts=(4,4,4),
            eigensolver="rmm-diis")
cell.set_calculator(calc)

traj = Trajectory("<name>.traj", "w")
for delta in [ 0.97, 0.98, 0.99, 1.00, 1.01, 1.02, 1.03 ]:
    cell.set_cell(ucell * delta, scale_atoms=True)
    cell.get_potential_energy()
    traj.write(cell)
```



# Results for the Na bulk

- submit the calculation first (parallel)

```
mpirun -np 4 gpaw-python <name>.py | tee <name>.txt
```

- open the saved trajectory (i.e. data points with different volumes)

```
ase-gui <name>.traj
```

- select Tools — Bulk Modulus to see the fitting of the stabilised jellium equation of state to your data and to retrieve the equilibrium volume  $V_0$  and the bulk modulus  $B$

# ...continued

- for the Na bulk
  - $V_0 = 74.484 \text{ \AA}^3$
  - $B = 7.699 \text{ GPa}$
- which compares well to the experimental data
  - $V_0 (\text{exp}) = 74.088 \text{ \AA}^3$
  - $B (\text{exp}) = 7.6 \text{ GPa}$

# Follow up

- now repeat each step for

(2.2) – **Ag** (fcc)

```
cell = bulk(name="Ag", crystalstructure="fcc",  
            a=..., cubic=True)
```

(2.3) – **Mg** (hcp)

```
cell = bulk(name="Mg", crystalstructure="hcp",  
            a=..., c=...)  
# the unit cell is non-cubic!  
# we need to specify two lattice parameters!
```

# Solutions

(2.2) – **Ag** (fcc)

$$a = 4.1814 \text{ \AA}$$

$$V_0 = 73.279 \text{ \AA}^3$$

$$B = 87.317 \text{ GPa}$$

(2.3) – **Mg** (hcp)

$$a = 3.2228 \text{ \AA}$$

$$c = 5.0821 \text{ \AA}$$

$$V_0 = 45.608 \text{ \AA}^3$$

$$B = 38.143 \text{ GPa}$$

# Homework

- relax the lattice and calculate the equilibrium volume and the bulk modulus for the following metals (method: PBE functional, PW(400),  $(4 \times 4 \times 4)$  Monkhorst–Pack mesh of  $k$ -points, RMM-DIIS eigensolver)
  - (2.4) – **Mo** (bcc), (2.5) – **Al** (fcc) and (2.6) – **Be** (hcp)
  - (2.7) – **Fe** (bcc), (2.8) – **Ni** (fcc) and (2.9) – **Co** (hcp)
  - these structures are ferromagnetic! — you must employ spin-polarised calculations! — make sure you converge the wave-function to a ferromagnetic state!
- return a short report on your results by **April 20, 1 p.m.**

# Hints

- to enable the spin polarisation, add to your calculator

```
calc = GPAW(..., spinpol=True)
```

- to drive the wave-function to a ferromagnetic solution, you need to apply starting magnetic moments  $\mu_0$  to the atoms in the unit cell prior to attaching the calculator

```
cell = bulk(...)
cell.set_initial_magnetic_moments([...])
# as the argument you must supply an array of N magnetic
# moments, where N is the number of atoms per unit cell
```

- find the experimental values for  $\mu$  in the literature and use those in your guess